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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10688742	
	Filing Date		2003-10-17	
	First Named Inventor	Y. Diao et al.		
	Art Unit	2122		
	Examiner Name	To Be Assigned		
	Attorney Docket Number	YOR920030504US1		

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6445963		2002-09-03	Blevins et al.	
	2	6298448		2001-10-02	Shaffer et al.	
	3	5867382		1999-02-02	McLaughlin	
	4	5815712		1998-09-29	Bristore et al.	

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## U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020027569		2002-03-07	Manni et al.	

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## FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
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	1							<input type="checkbox"/>
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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	C. LU ET AL., "Performance Specifications and Metrics for Adaptive Real-Time Systems," Proceedings of 21st IEEE Real Time Systems Symposium, November 2000, pp. 13-24.	<input type="checkbox"/>
	2	D.A. MENASCÉ ET AL., "Preserving QoS of E-Commerce Sites Through Self-Tuning: A Performance Model Approach," Proceedings of the ACM Conference Electronic Commerce, October 2001, 11 pages., Florida.	<input type="checkbox"/>
	3	Y. DIAO ET AL., "Using MIMO Feedback Control for to Enforce Policies for Interrelated Metrics with Application to the Apache Web Server," Proceedings of Network Operations and Management, 2002, 16 pages.	<input type="checkbox"/>
	4	Y. DIAO ET AL., "Generic On-Line Discovery of Quantitative Models for Service Level Management," Proceedings of the 8th IFIP/IEEE International Symposium on Integrated Network Management, March 2003, 14 pages.	<input type="checkbox"/>
	5	DMTF, "Common Information Model (CIM) Core Model," Version 2.4, White Paper, August 30, 2000, pp. 1-54.	<input type="checkbox"/>

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

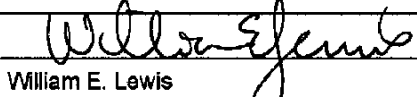
☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-01-02
Name/Print	William E. Lewis	Registration Number	39,274

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